



RBE 2004

ระบบอัตโนมัติ (Automatic System)

สาขาวิศวกรรมหุ่นยนต์

คณะวิศวกรรมศาสตร์และเทคโนโลยีอุตสาหกรรม

มหาวิทยาลัยราชภัฏสวนสุนันทา

Chapter 4 Performance of Feedback Control systems

Lecture 6

- Transient response to various test inputs
- Dominant poles and zeros
- Computer Simulation (Matlab/Simulink)

Applications

The levitation control system of the train must ensure that the train does not touches the guide. How can we design a controller that reacts as fast as possible with no overshoot?



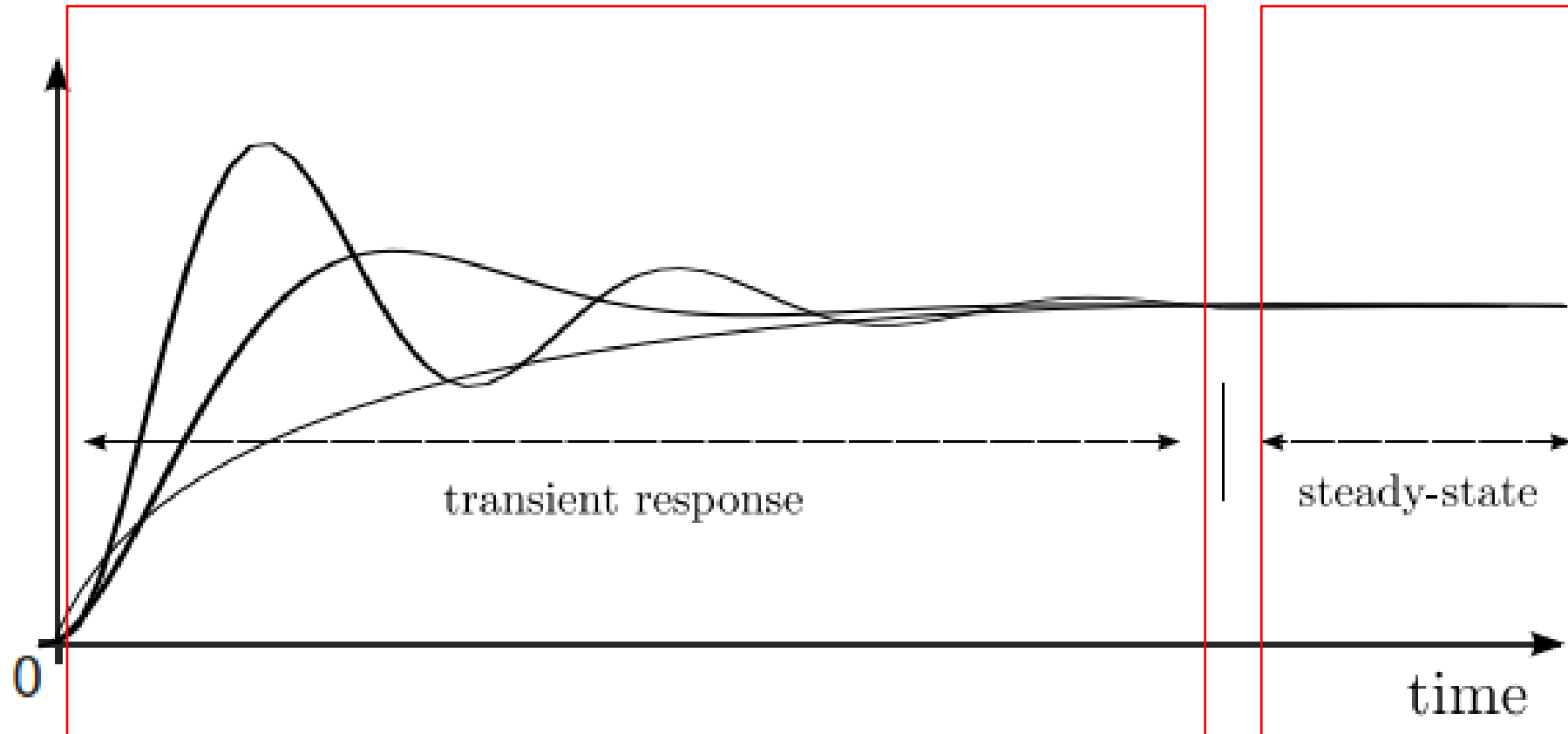
Applications

The pointing control system of a space telescope is desired to achieve an accuracy of 0.01 minute of arc. How can we limit the steady state error while avoiding transient oscillations?



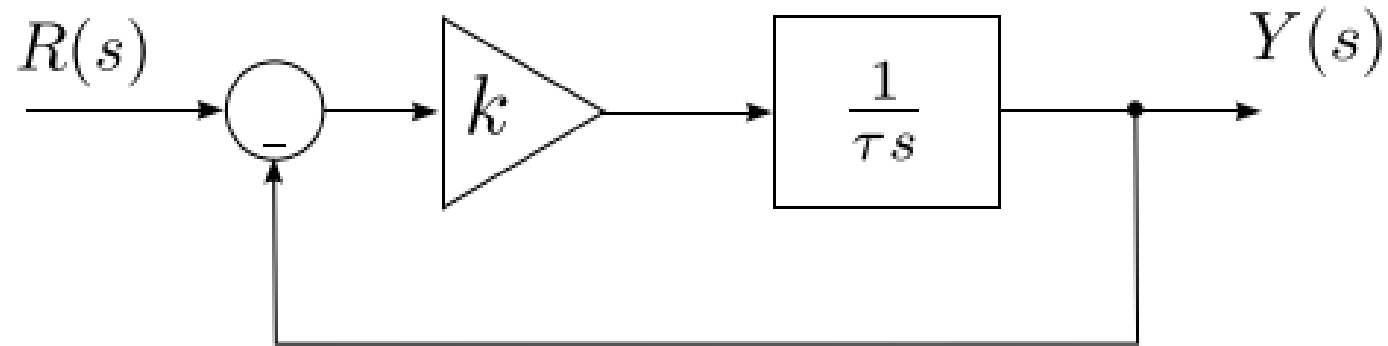


Transient response



First order systems

Consider the first order closed-loop system shown with a proportional gain k



The transfer function $Y(s)/R(s)$ is

$$\frac{Y(s)}{R(s)} = \frac{1}{\left(\frac{\tau}{k}\right)s + 1}$$

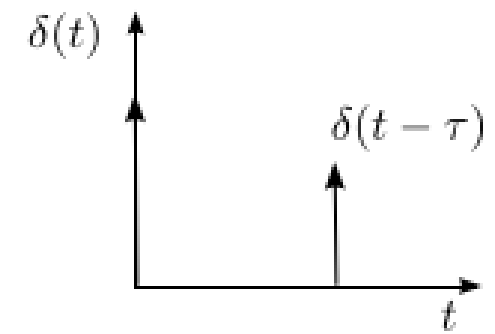
How does k influence the transient and steady state response?

To analyse the performance of the system, we need to specify a **standard test input signal**.

Standard test signals

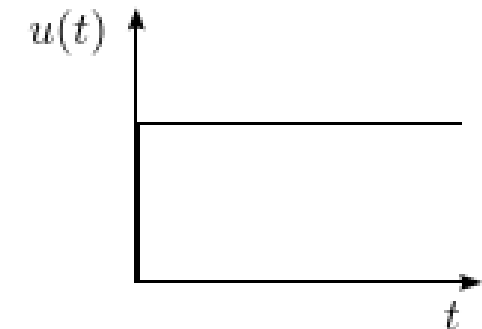
Impulse function

$$\delta(t) = \begin{cases} A & t = 0 \\ 0 & t \neq 0 \end{cases} \rightarrow I(s) = A$$



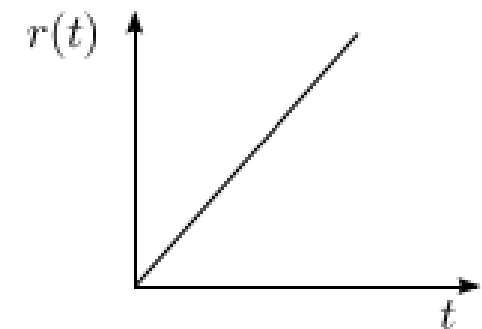
Step function

$$u(t) = \begin{cases} A & t \geq 0 \\ 0 & t < 0 \end{cases} \rightarrow U(s) = A \frac{1}{s}$$



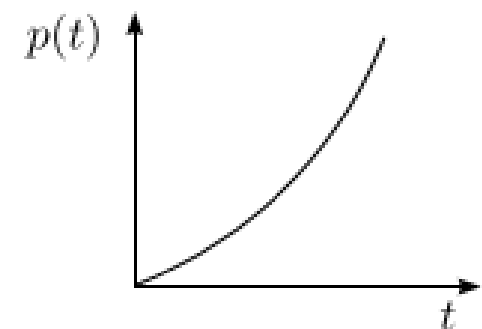
Ramp function

$$r(t) = \begin{cases} At & t \geq 0 \\ 0 & t < 0 \end{cases} \rightarrow R(s) = A \frac{1}{s^2}$$



Parabolic function

$$p(t) = \begin{cases} A \frac{t^2}{2} & t \geq 0 \\ 0 & t < 0 \end{cases} \rightarrow P(s) = A \frac{1}{s^3}$$



Temporal response

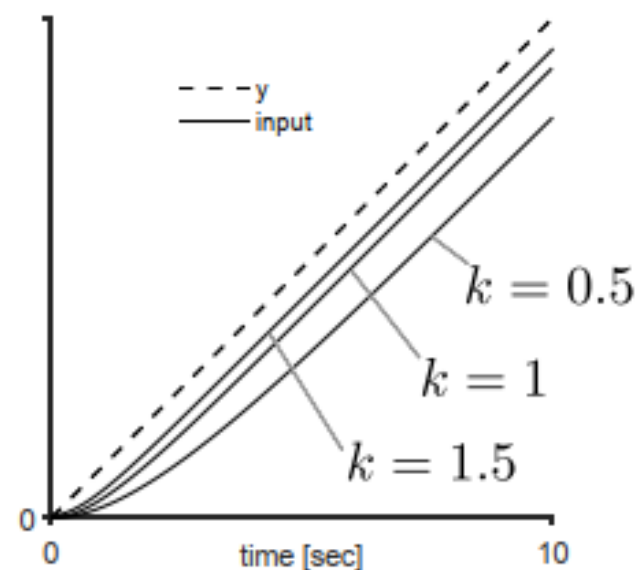
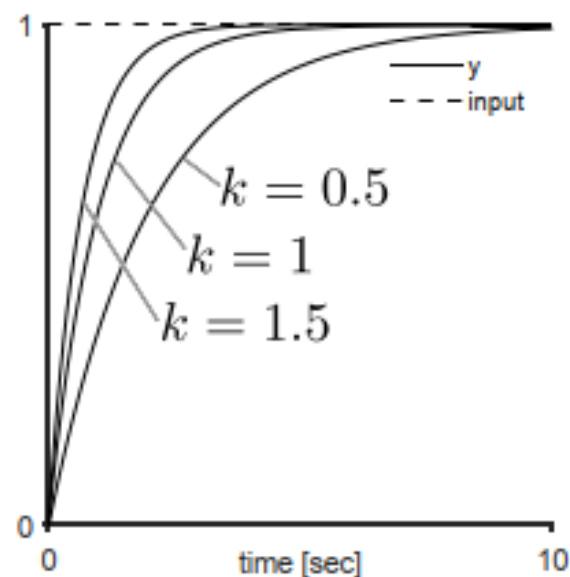
Step response $r(t) = 1$

$$Y(s) = \frac{1}{s} \frac{1}{\left(\frac{\tau}{k}\right) s + 1} \rightarrow \mathcal{L}^{-1} \rightarrow y(t) = 1 - e^{-\frac{k}{\tau} t}$$

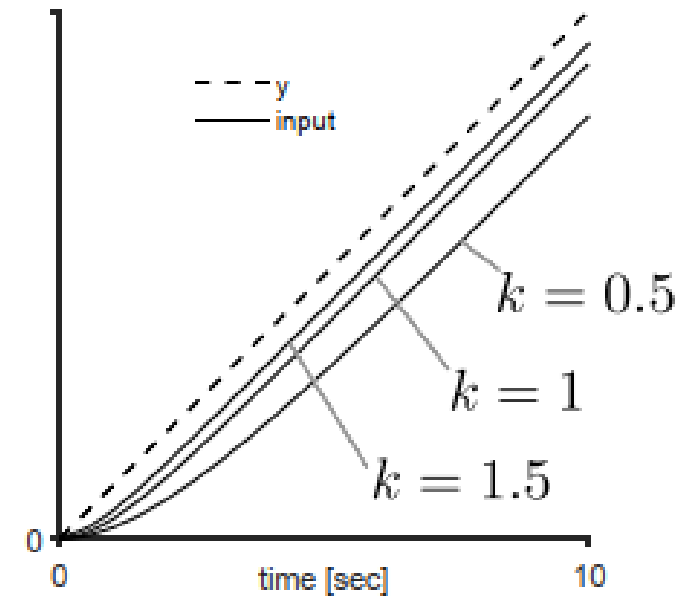
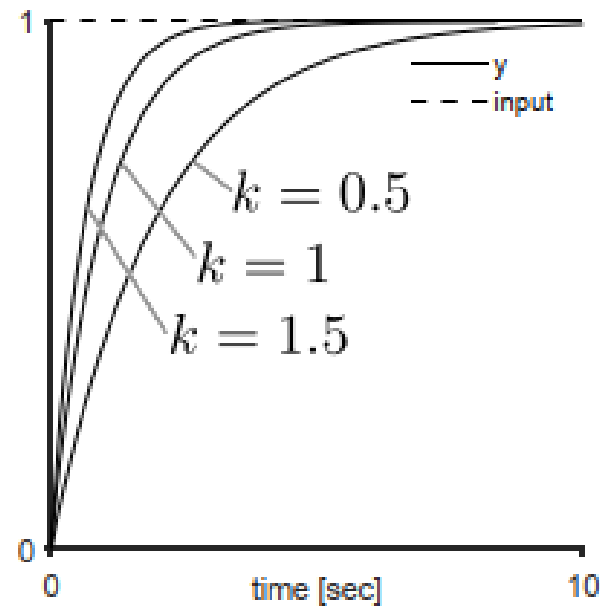
Ramp response $r(t) = t$

$$Y(s) = \frac{1}{s^2} \frac{1}{\left(\frac{\tau}{k}\right) s + 1} \rightarrow \mathcal{L}^{-1} \rightarrow y(t) = t - \frac{\tau}{k} (1 - e^{-\frac{k}{\tau} t})$$

Effects of k for $k > 0$ for $\tau = 1$.



Temporal response - first order system



In a first-order system:

→ k reduces the **time constant** of the system

→ The higher k , the faster the response

→ What is the maximum control-loop gain k ?

Time constant - first order systems

Impulse:

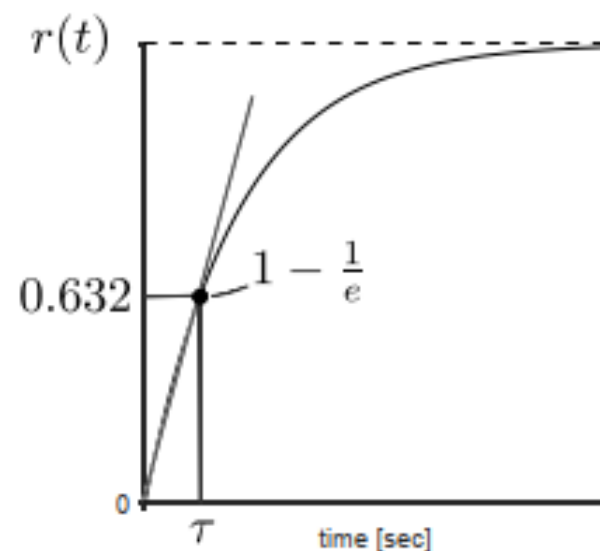
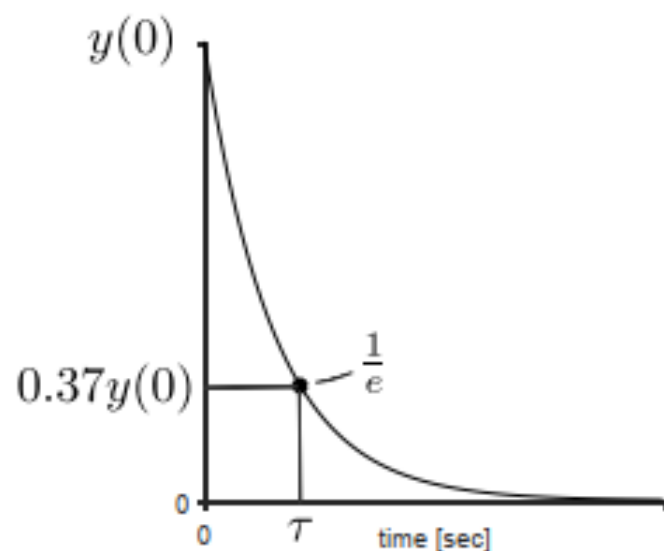
$$H(s) = \frac{1}{s\tau + 1} \rightarrow \mathcal{L}^{-1} \rightarrow y(t) = y(0)e^{-\frac{t}{\tau}}$$

→ When $t = \tau$, the response 37% ($1/e$) of $y(0)$

Step response

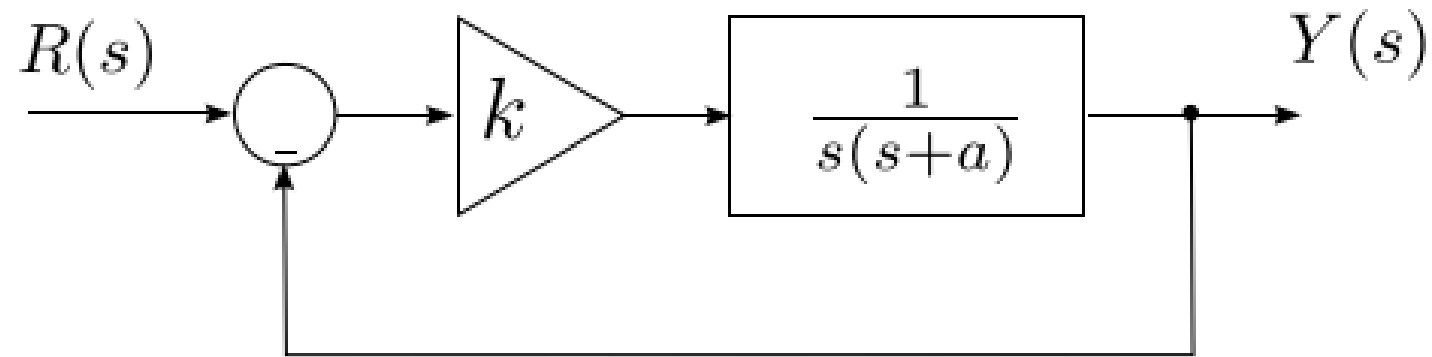
$$H(s) = \frac{1}{s} \frac{1}{s\tau + 1} \rightarrow \mathcal{L}^{-1} \rightarrow y(t) = 1 - e^{-\frac{t}{\tau}}$$

→ When $t = \tau$, the response 67% ($1 - 1/e$) of its steady state value



Second-order systems

Consider now the following second order control system:



The transfer function is

$$\frac{Y(s)}{R(s)} = \frac{k}{s^2 + sa + k}$$

We can rewrite the above equation in the standard formulation:

$$\frac{Y(s)}{R(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

where: $\omega_n = \sqrt{k}$, $\zeta = a/(2\sqrt{k})$.

Transient response

$$\frac{Y(s)}{R(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

where: $\omega_n = \sqrt{k}$, $\zeta = a/(2\sqrt{k})$.

How does k influence the response of the system?

→ The natural frequency ω_n depends on k

→ The damping ratio ζ depends on k

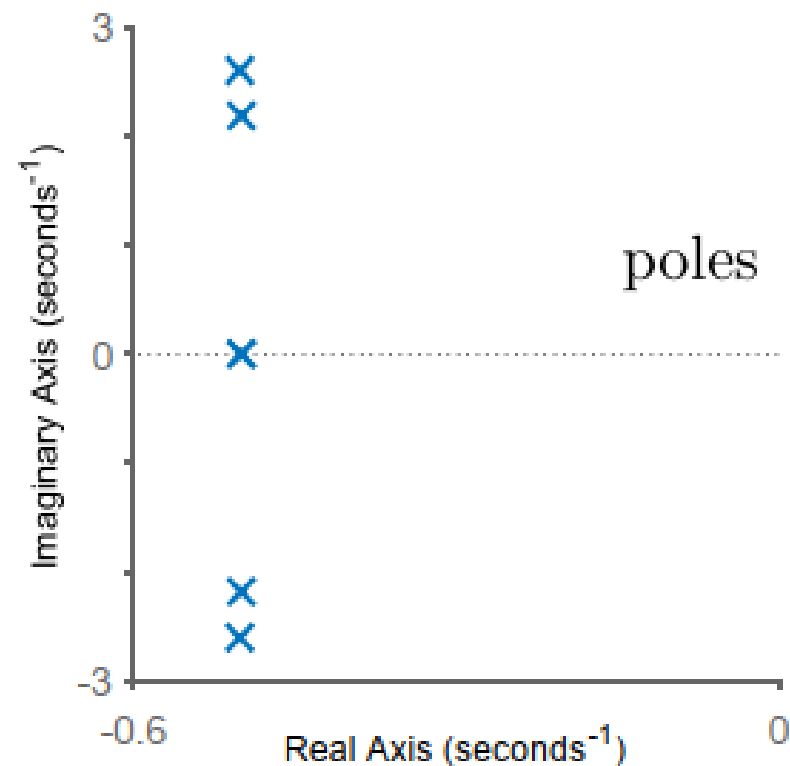
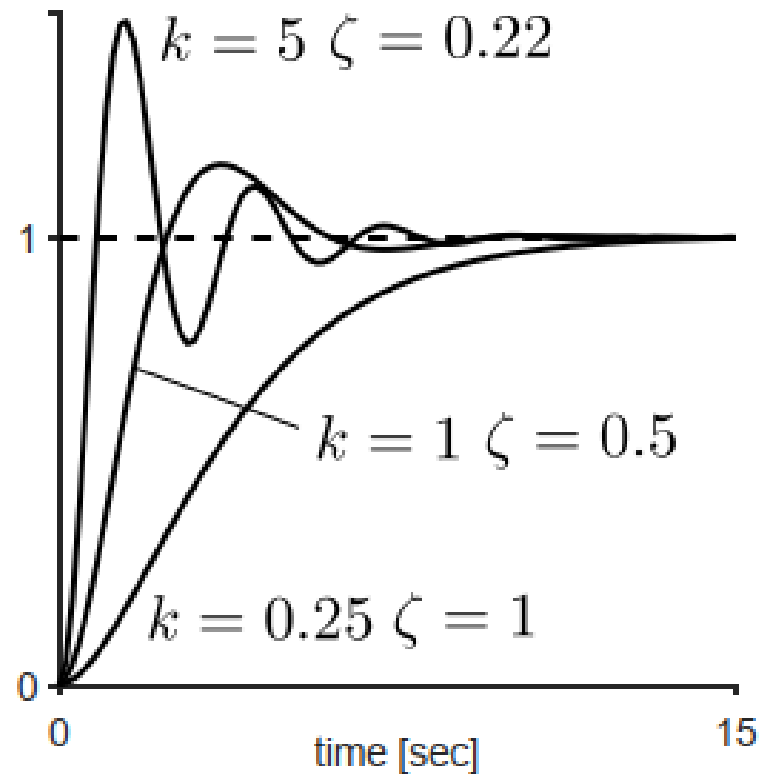
The response for an unit step input when $0 < \zeta < 1$ is

$$y(t) = 1 - \frac{1}{\sqrt{1 - \zeta^2}} e^{-\zeta\omega_n t} \sin \left[\left(\omega_n \sqrt{1 - \zeta^2} \right) t + \cos^{-1} \zeta \right]$$

Transient response - second-order systems

$$\frac{Y(s)}{R(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$y(t) = 1 - \frac{1}{\beta} e^{-\zeta\omega_n t} \sin\left(\omega_n \sqrt{1 - \zeta^2} t + \cos^{-1} \zeta\right) \quad (1)$$

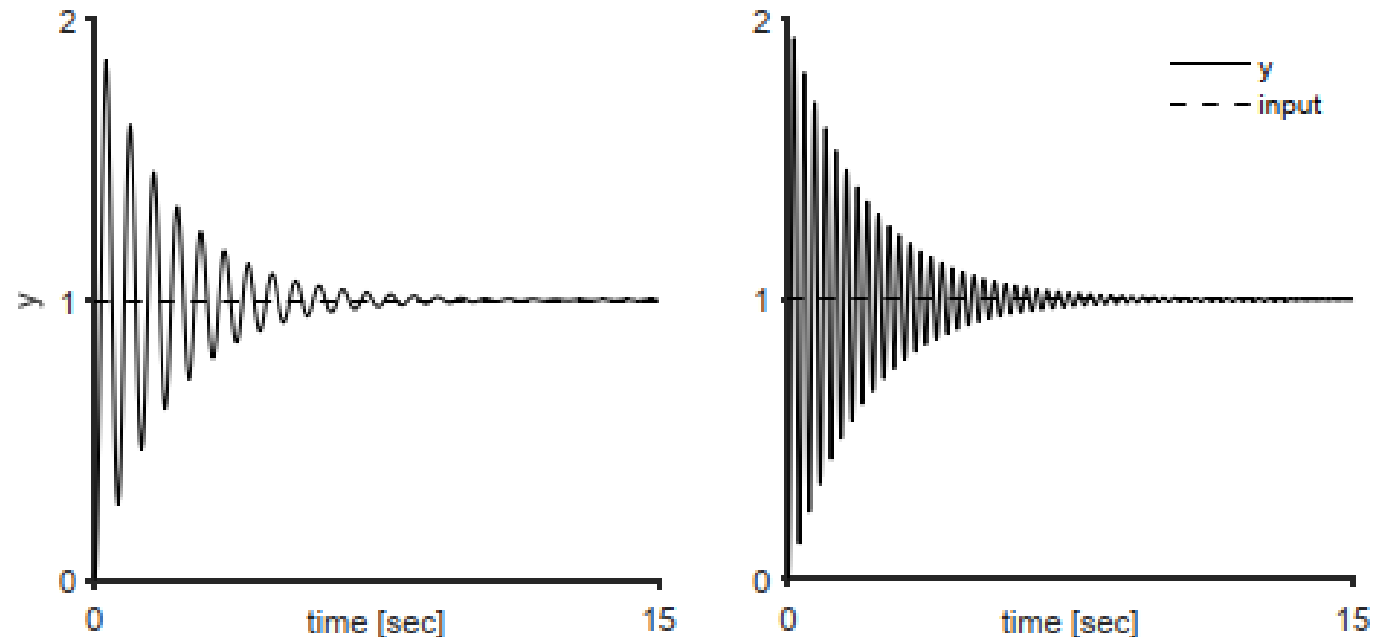


Transient response - second-order systems

$$\frac{Y(s)}{R(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

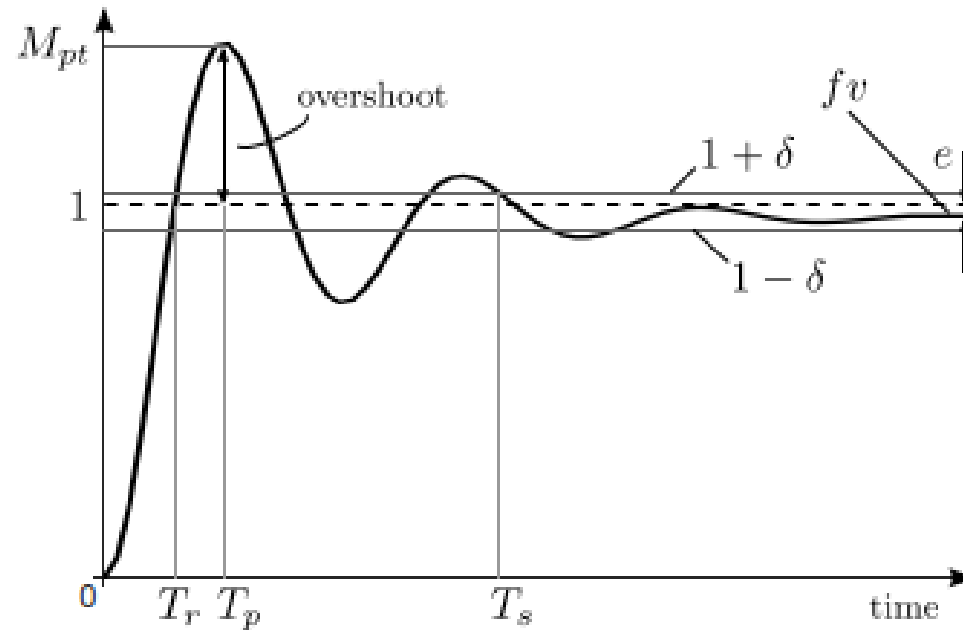
$$y(t) = 1 - \frac{1}{\sqrt{1 - \zeta^2}} e^{-\zeta\omega_n t} \sin\left(\omega_n \sqrt{1 - \zeta^2} t + \cos^{-1} \zeta\right)$$

Natural frequency for $k = 1000$ and $k = 5000$.



How can we evaluate the performance of the controller?

Measures of performance



→ Rise time T_r , peak time T_p , and peak value M_{pt}

→ Settling time T_s : $y(t)$ within 2% of its final value

→ Percent overshoot $P.O.$

→ T_r and T_p characterize the **swiftness** of the response

→ $P.O.$ and T_s characterize the **closeness** of the response to the input

Overshoot

For an unit step input, the percent overshoot is

$$P.O. = \frac{M_{pt} - f_v}{f_v} \times 100 \quad (2)$$

→ M_{pt} is the peak value

→ f_v is the magnitude of the input

Differentiating Eq (1) and setting it to zero yields the peak time as

$$T_p = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}} \quad (3)$$

Replacing (3) into (1) gives the peak response:

$$M_{pt} = 1 + e^{-\zeta\pi/\sqrt{1-\zeta^2}} \quad (4)$$

Thus, the percentage overshoot ($f_v = r(t) = 1$) is

$$P.O. = 100e^{-\zeta\pi/\sqrt{1-\zeta^2}} \quad (5)$$

Settling time

For an unit step input and $0 < \zeta < 1$, recall that

$$y(t) = 1 - \frac{1}{\sqrt{1 - \zeta^2}} e^{-\zeta\omega_n t} \sin\left(\omega_n \sqrt{1 - \zeta^2} t + \cos^{-1} \zeta\right)$$

When $t = T_s$, the response is within 2% of its final value, thus:

$$e^{-\zeta\omega_n T_s} < 0.02$$

or

$$\zeta\omega_n T_s \approx 4 \tag{6}$$

therefore

$$T_s = \frac{4}{\zeta\omega_n} = 4\tau \tag{7}$$

where $\tau = 1/\zeta\omega_n$ is the time constant.

The settling time is equal to 4 times the time constant

Settling time

The characteristic equation

$$s^2 + 2\zeta\omega_n s + \omega_n^2$$

has poles:

$$s_1 = -\zeta\omega_n + j\omega_n\sqrt{1 - \zeta^2}$$

$$s_1 = -\zeta\omega_n - j\omega_n\sqrt{1 - \zeta^2}$$

or $s = -\zeta\omega_n \pm \omega_n\sqrt{\zeta^2 - 1}$. Since

$$T_s \approx \frac{4}{\zeta\omega_n} \quad (8)$$

Therefore the settling time is inversely proportional to the real part of the poles.

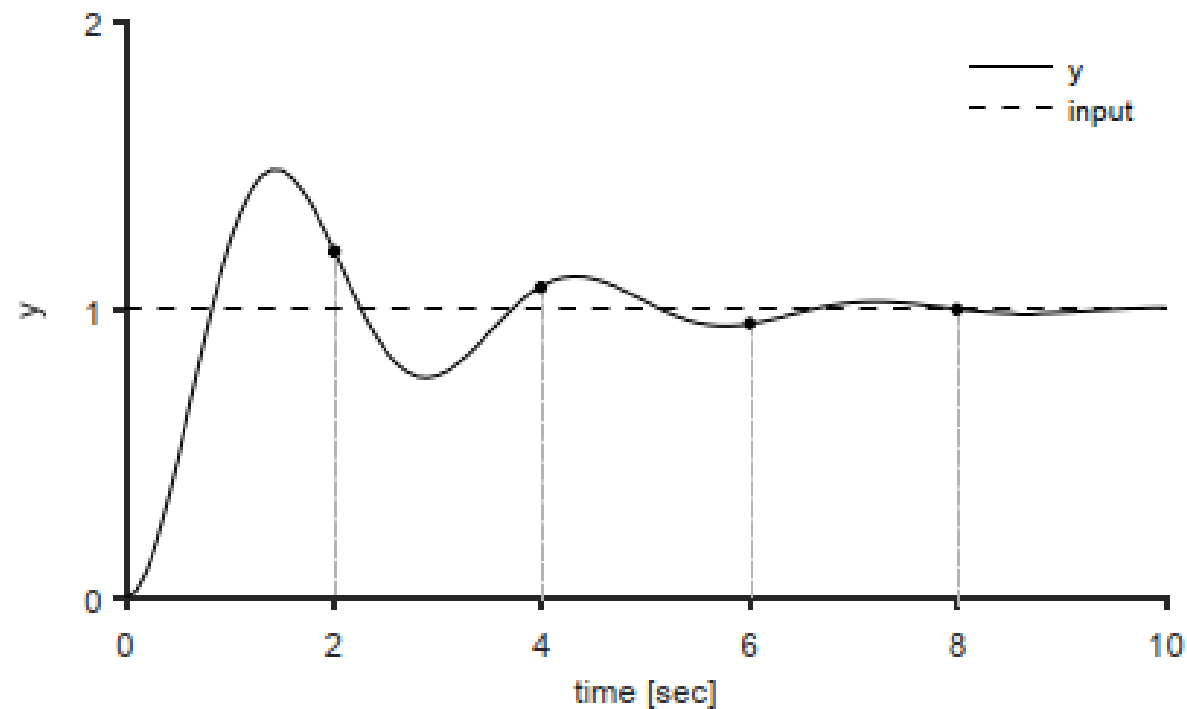
Settling time

Consider the function

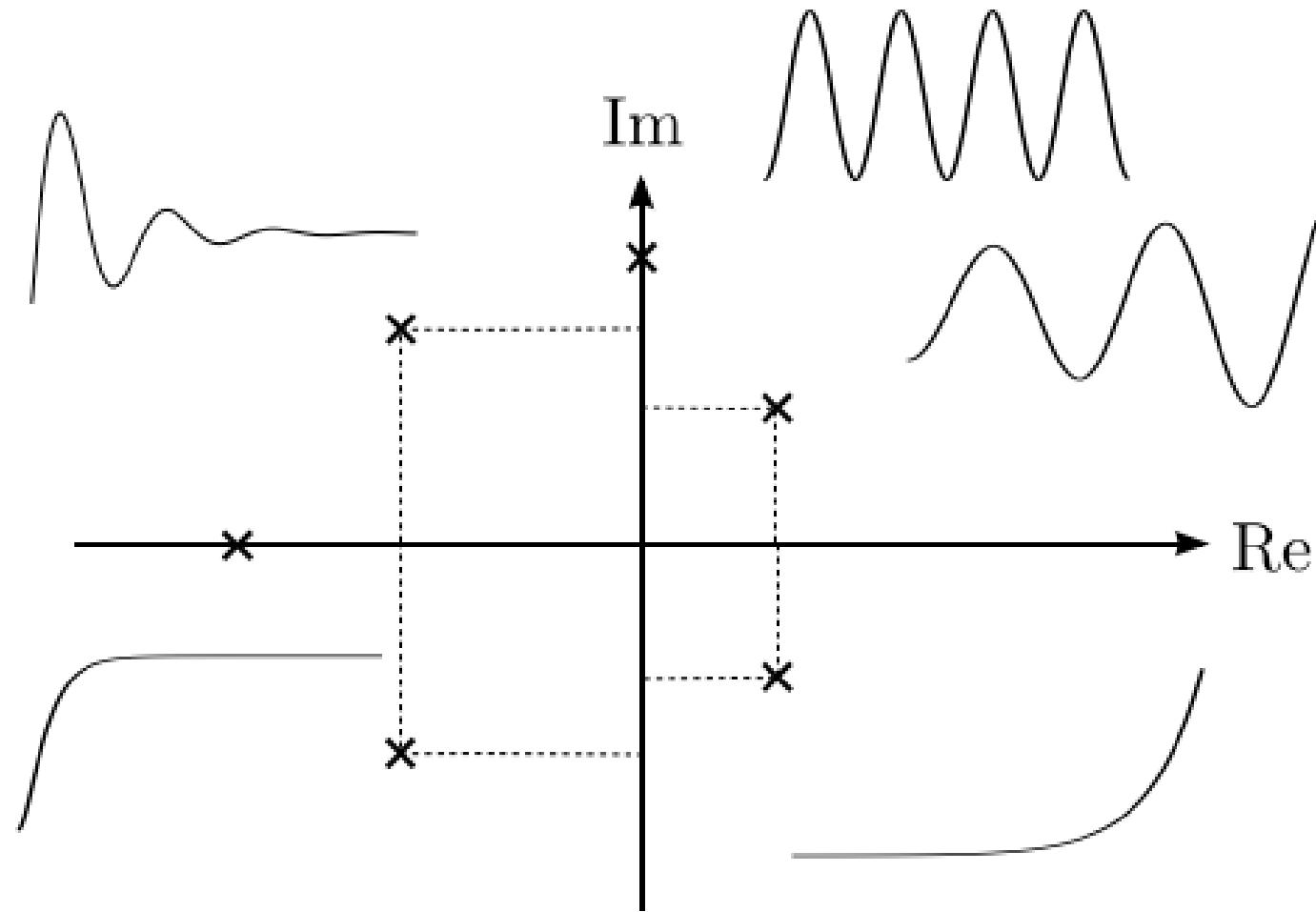
$$H = \frac{5}{s^2 + s + 5}$$

thus: $\omega_n = \sqrt{5}$ and $\zeta = 1/(2\sqrt{5})$. The time constant is

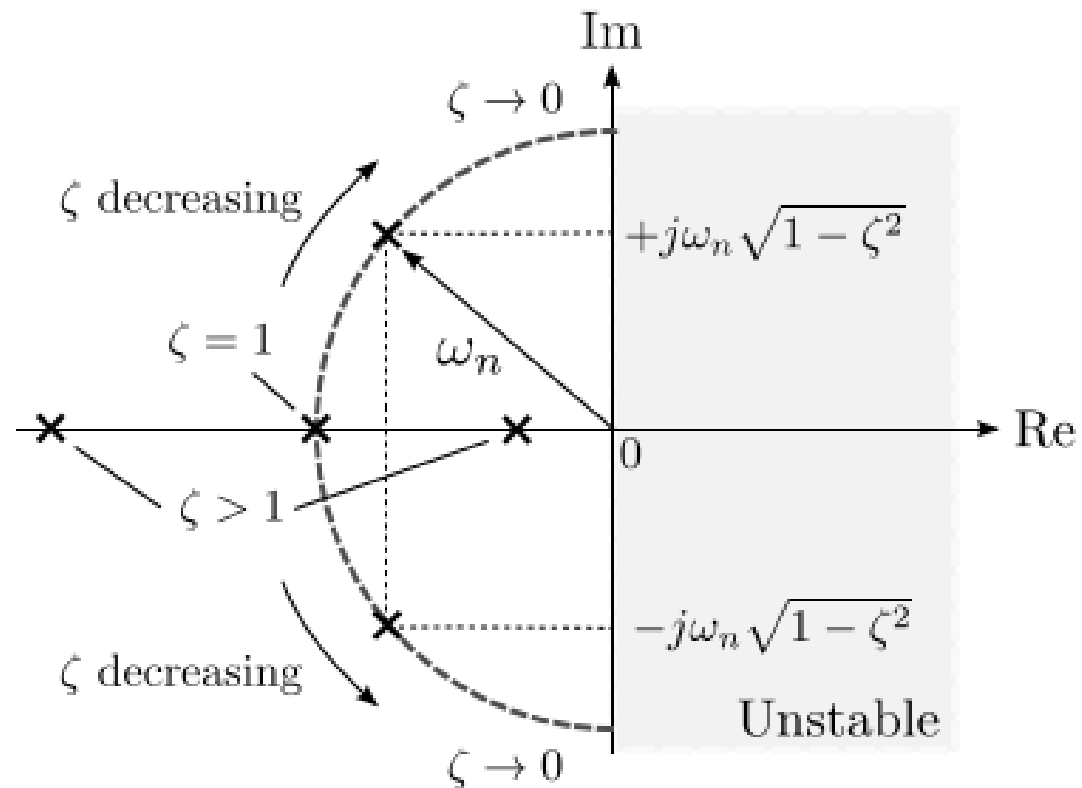
$$\tau = \frac{1}{\zeta\omega_n} = 2 \text{ sec}$$



Poles and transient response



Damping ratio	Roots	Systems response
$\zeta > 1$	Distinct real	overdamped
$\zeta = 1$	Equal real	damped
$0 < \zeta < 1$	Complex conjugate	underdamped
$\zeta = 0$	Purely imaginary	undamped
$\zeta < 0$	Positive	unstable



Exercise 35

A feedback system with a negative unity feedback has the loop transfer function

$$L(s) = C(s)G(s) = \frac{2(s + 8)}{s(s + 4)}.$$

Determine:

- **(a)** The closed-loop transfer function
- **(b)** The time response for an input $r(t) = A$
- **(c)** The percent overshoot of the response
- **(d)** The steady state error

Exercise 35 - continued

(a) The closed-loop transfer function

$$L(s) = C(s)G(s) = \frac{2(s + 8)}{s(s + 4)}.$$

Exercise 35 - continued

(b) The time response

$$Y(s) = \frac{A}{s} \frac{2(s+8)}{s^2 + 6s + 16}$$

Exercise 35 - continued

(c) The percentage overshoot

$$Y(s) = \frac{A}{s} \frac{2(s+8)}{s^2 + 6s + 16}$$

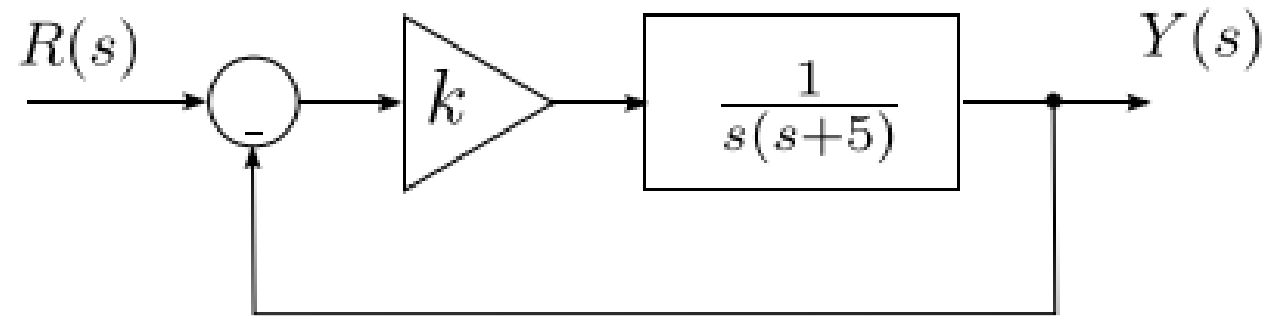
Exercise 35 - continued

(d) The steady state error

$$Y(s) = \frac{A}{s} \frac{2(s+8)}{s^2 + 6s + 16}$$

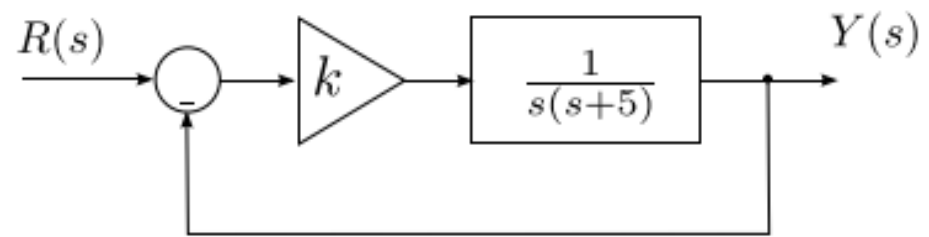
Exercise 36

Consider the following block diagram:



- **(a)** Calculate the steady-state error for a ramp input
- **(b)** Select k that will result in zero overshoot to a step input

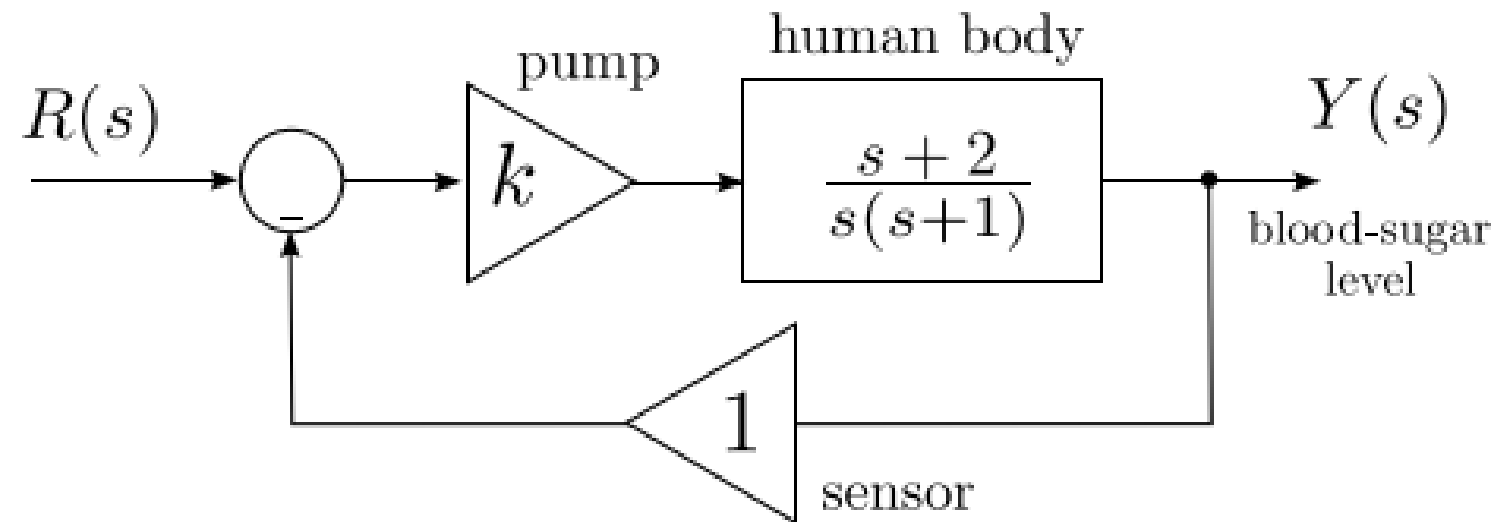
Exercise 36 - continued



Exercise 36 - continued

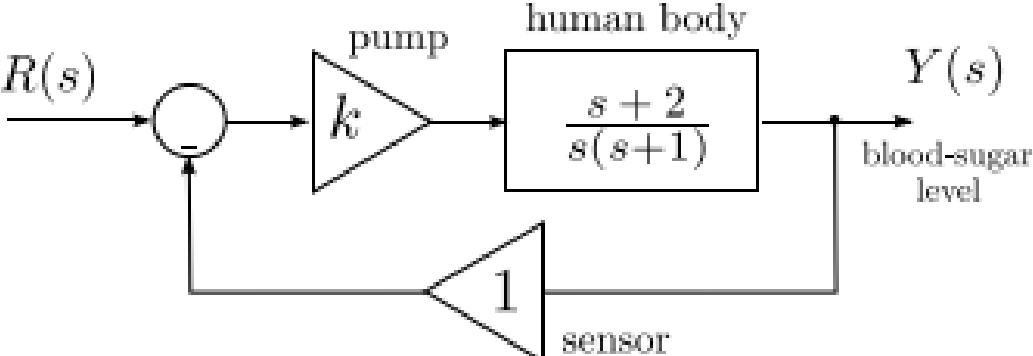
Exercise 37

An insulin pump injection system for diabetic persons has a feedback control as shown.

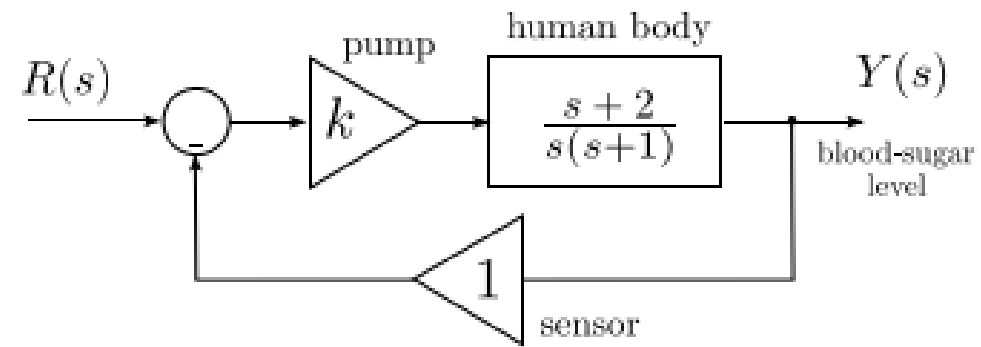


Calculate a suitable gain k so that the percent overshoot of the step response due to the drug injection is 7%. $R(s)$ is the desired blood sugar level and $Y(s)$ is the actual level. Plot the expected overshoot for different k using Matlab.

Exercise 37 - continued

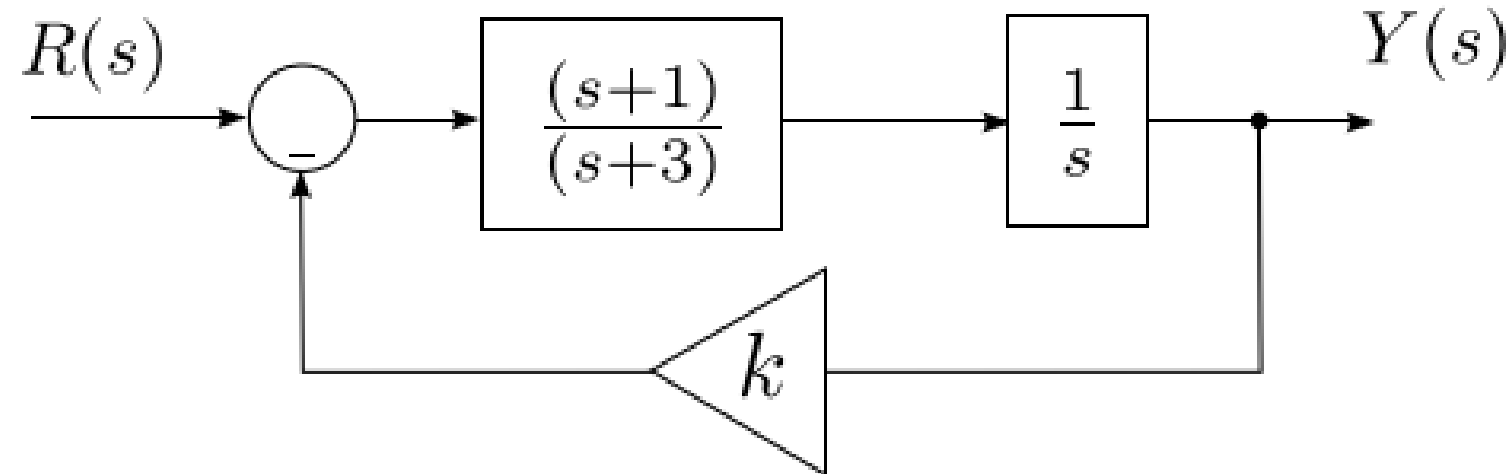


Exercise 37 - continued



Exercise 38

Consider the following closed loop system

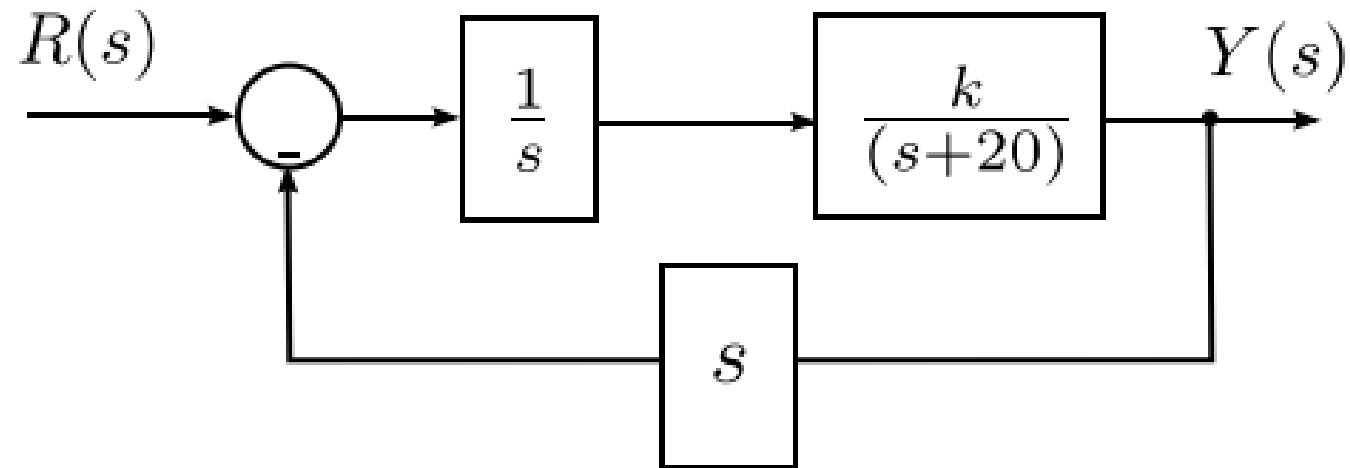


Determine:

- **(a)** Determine the closed loop transfer function
- **(b)** Select k so that the steady state error to a unit step input is bounded.

Exercise 39

A closed-loop system designed to orient a photovoltaic array towards the direction of maximum solar incidence has the following structure:



If $k = 20$, determine:

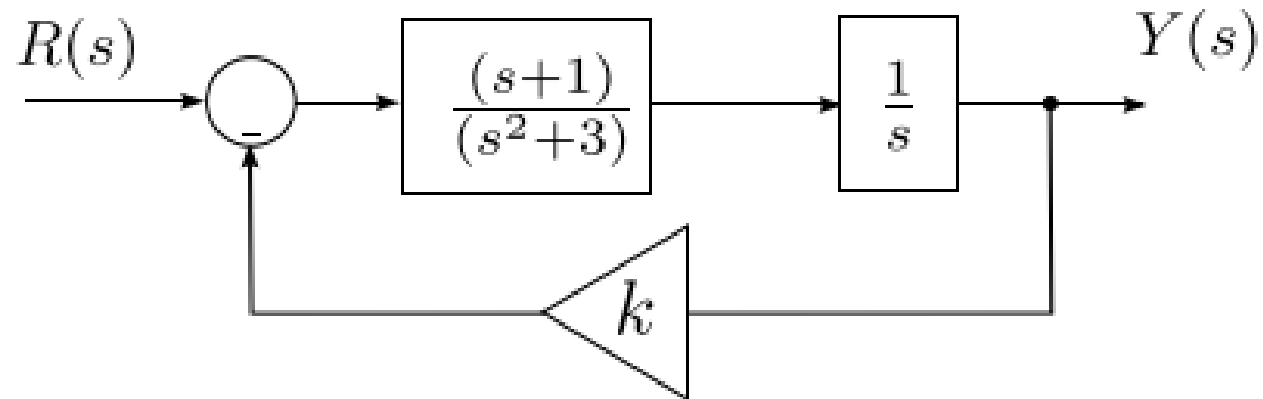
- (a) The time constant of the closed loop system
- (b) The settling time to within 2% of the final value of the system to a unit step **disturbance**.

Dominant Poles and Zeros

- Understand the concept of dominant poles
- Recognize the influence zeros to the transient response
- Simplify a transfer function to lower orders

Applications

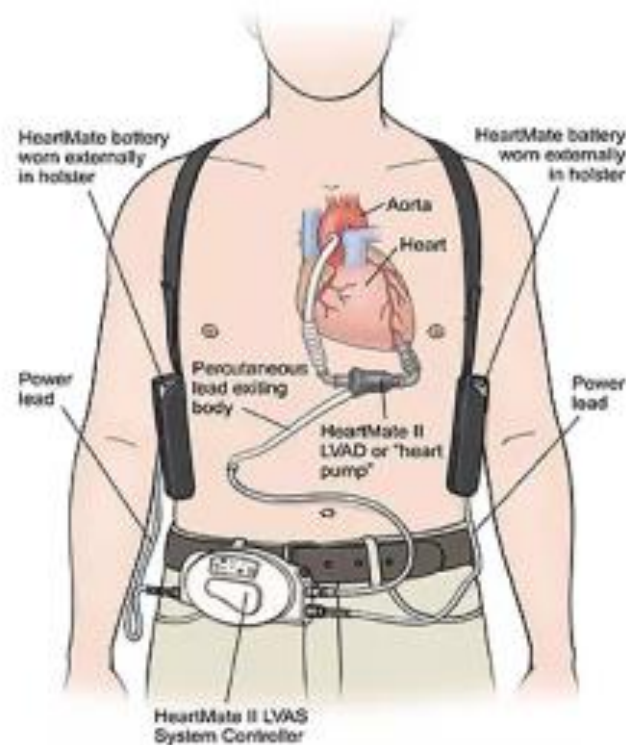
The roll control autopilot of an aircraft has the following structure:



How can we calculate the k that yields an overshoot of less than 2%?

Applications

A ventricular assist device is a mechanical pump used to support heart function and blood flow in people with weak or failing hearts.



The model of the heart and pump system results in a third order transfer function. How can we analyse the transient response of the system?

First order system

Consider the response of a first order system to an unit step input:

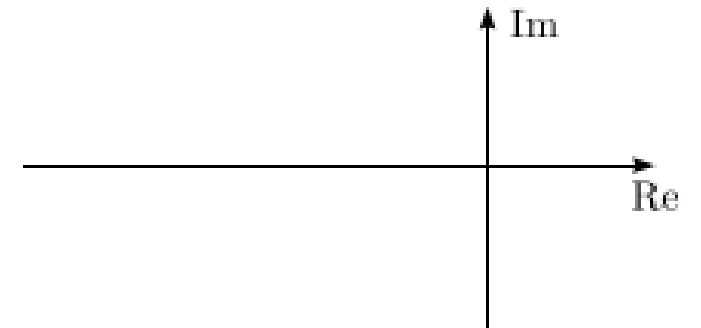
$$X(s) = \frac{1}{s + a} \left(\frac{1}{s} \right)$$

Using partial fraction expansion:

$$X(s) = \frac{1/a}{s} - \frac{1/a}{s + a}$$

The inverse transform yields

$$x(t) = \frac{1}{a} (1 - e^{-at})$$



The transfer function has one pole located at $s = -a$.

→ How does the magnitude of $s = -a$ influence the transient response?

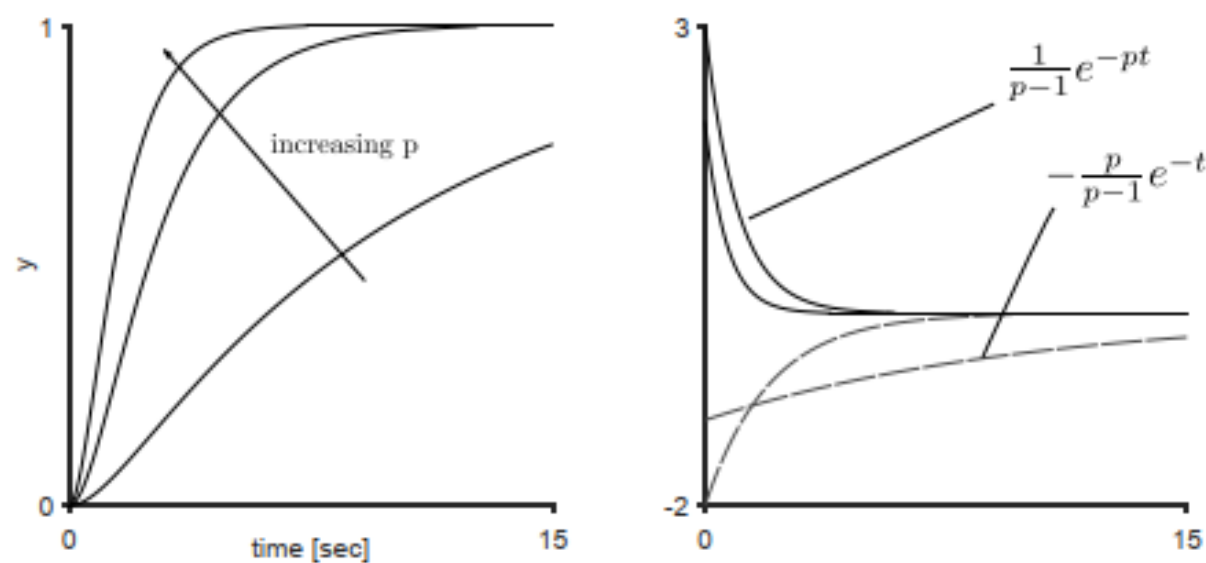
The effect of an additional pole

Let us now examine the step response of

$$X(s) = \frac{p}{(s+1)(s+p)} \left(\frac{1}{s} \right) = \frac{1}{(s+1)\left(\frac{1}{p}s+1\right)} \left(\frac{1}{s} \right).$$

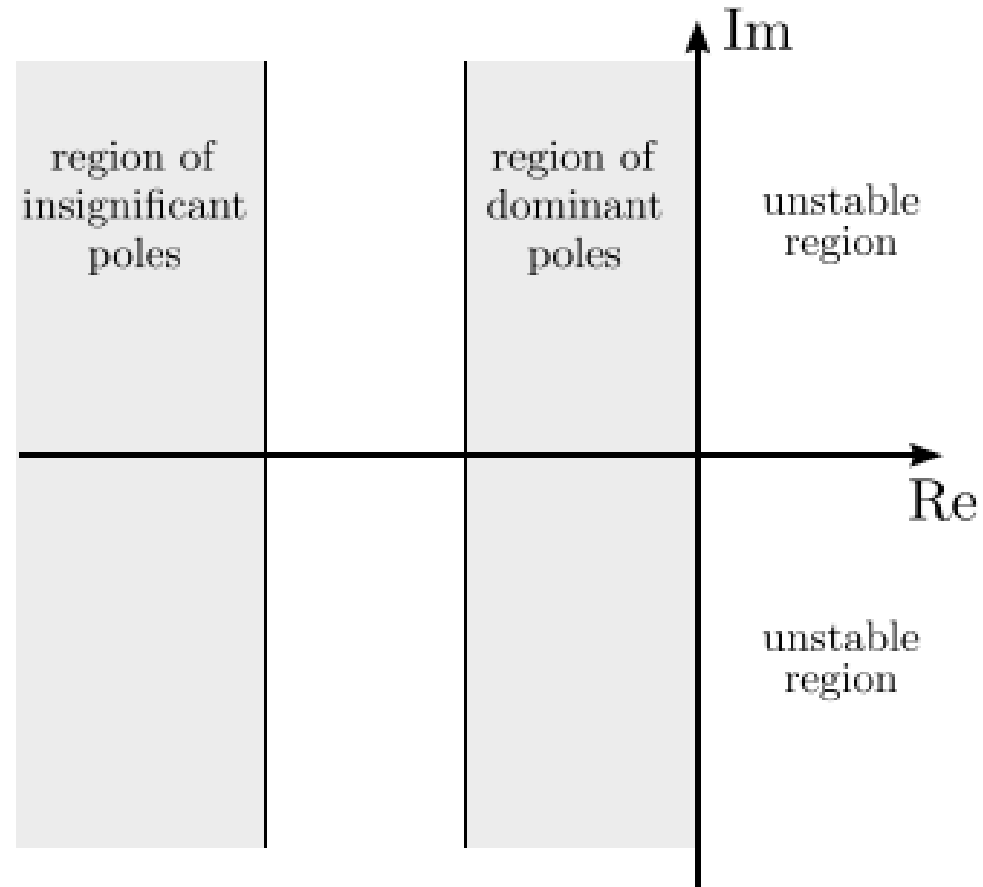
Partial fraction expansion gives:

$$x(t) = 1 - \frac{p}{p-1} e^{-t} + \frac{1}{p-1} e^{-pt}$$



Conclusion: If $p \gg 1$, the term $1/(p-1)e^{-pt}$ is negligibly small as $t \rightarrow \infty$.

The effect of an additional pole



If the magnitude of the real part of a pole is at least 5 to 10 times that of a dominant pole, then the pole may be regarded as insignificant.

Second order systems with an additional pole

Consider the 3rd order function

$$T(s) = \frac{1}{(s^2 + 2\zeta\omega_n s + 1)(\gamma s + 1)}$$

Real part of the poles are: $-1/\gamma$ and $-\zeta\omega_n$. Thus, if

$$\left| \frac{1}{\gamma} \right| \geq 10|\zeta\omega_n| \quad (1)$$

The response can be approximated by

$$T_a(s) = \frac{1}{s^2 + 2\zeta\omega_n s + 1}$$

Take $\omega_n = 1$, and $\zeta = 0.45$: gives two poles at $s = -0.45 \pm 0.89i$.

Example 1: $\gamma = 1.00 \rightarrow$ Adds a pole to $s = -1$

Example 2: $\gamma = 0.22 \rightarrow$ Adds a pole to $s = -4.5$.

Example 3: $\gamma = 0.10 \rightarrow$ Adds a pole to $s = -10$.

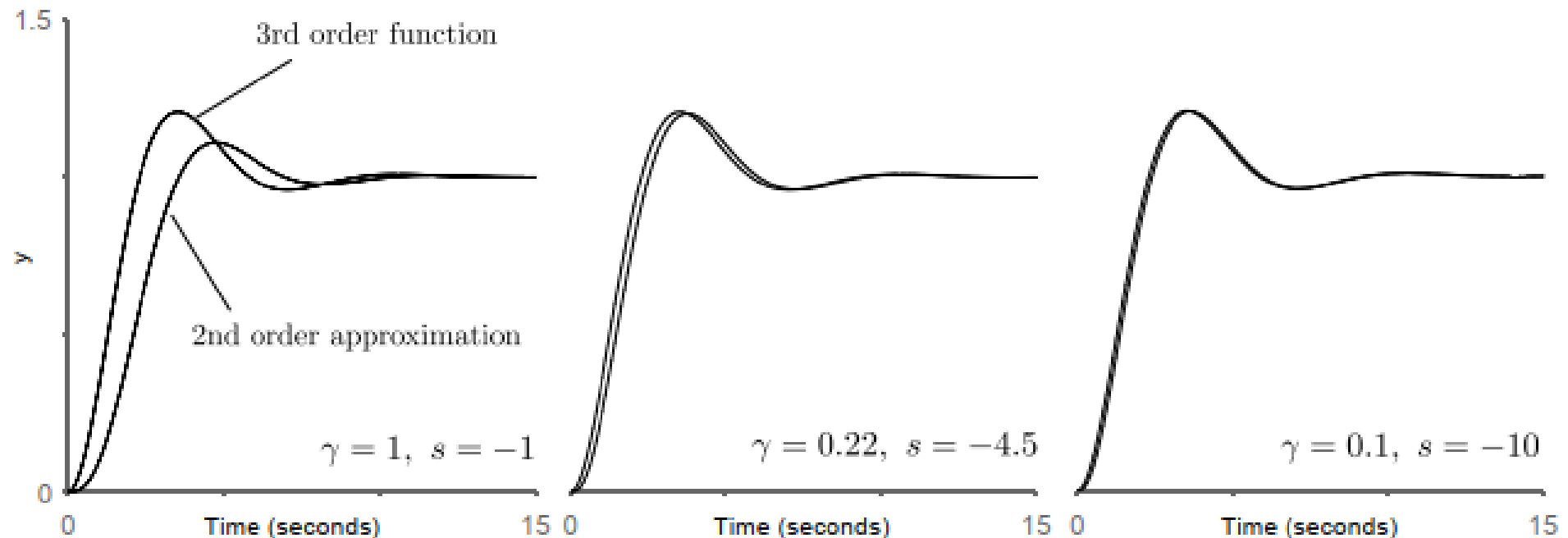
Second order systems with an additional pole

Original 3rd order function:

$$T(s) = \frac{1}{(s^2 + 2\zeta\omega_n s + 1)(\gamma s + 1)}$$

2nd order approximation:

$$T_a(s) = \frac{1}{s^2 + 2\zeta\omega_n s + 1}$$



Additional zeros

Consider the transfer function with an additional zero $s = -z$:

$$\frac{Y(s)}{R(s)} = \frac{\frac{\omega_n^2}{z}(s + z)}{s^2 + 2\zeta\omega_n s + \omega_n^2} \quad (2)$$

If $z \gg \zeta\omega_n$, the zero will have minimal effect on the step response.

The unit step response of the above equation is:

$$\frac{Y(s)}{R(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} + \frac{\frac{\omega_n^2}{z}s}{s^2 + 2\zeta\omega_n s + \omega_n^2} \quad (3)$$

If $x(t)$ is the inverse of the first term, then the time response is

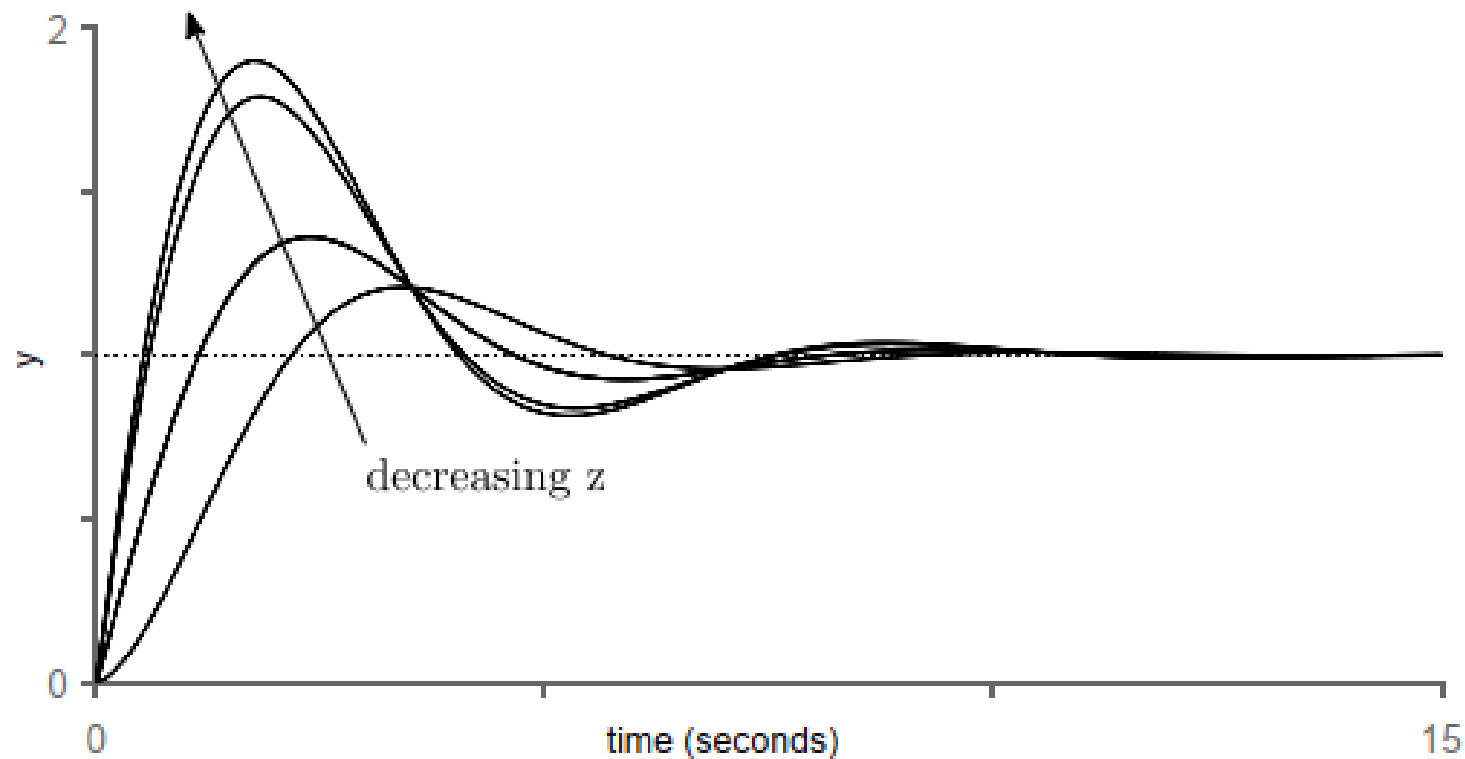
$$y(t) = x(t) + \frac{1}{z} \left(\frac{d}{dt} x(t) \right) \quad (4)$$

Conclusion: The additional zero speeds up transients, making rises and falls sharper.

Additional zeros

$$\frac{Y(s)}{R(s)} = \frac{\frac{\omega_n^2}{z}(s+z)}{s^2 + 2\zeta\omega_n s + \omega_n^2} \quad (5)$$

Consider: $\omega_n = 1$, $\zeta = 0.45$, $z = 0.7, 1, 10$



Simplification to a lower order

A more precise approach: Match the frequency response.

Consider the high order system:

$$G_H(s) = K \frac{a_m s^m + a_{m-1} s^{m-1} + \dots + a_1 s + 1}{b_n s^n + b_{n-1} s^{n-1} + \dots + b_1 s + 1} \quad (6)$$

with $m \geq n$, which is to be mapped to a lower order system

$$G_L(s) = K \frac{c_p s^p + c_{p-1} s^{p-1} + \dots + c_1 s + 1}{d_g s^g + d_{g-1} s^{g-1} + \dots + d_1 s + 1} \quad (7)$$

such that $p \leq g \leq n$.

The c and d coefficients of the approximate solution G_L are obtained via

$$M^k = \frac{d^k}{ds^k} M(s) \quad (8)$$

$$\Delta^k = \frac{d^k}{ds^k} \Delta(s) \quad (9)$$

Simplification

Let us define

$$M_{2q} = \sum_{k=0}^{2q} \frac{(-1)^{k+q} M^k(0) M^{2q-k}(0)}{k!(2q-k)!} \quad (10)$$

$$\Delta_{2q} = \sum_{k=0}^{2q} \frac{(-1)^{k+q} \Delta^k(0) \Delta^{2q-k}(0)}{k!(2q-k)!} \quad (11)$$

So that the c and d coefficient are obtained by equating

$$M_{2q} = \Delta_{2q} \quad (12)$$

for $q = 1, 2, \dots$ and up to the number required to solve for the unknowns.

Location of poles

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

The poles are

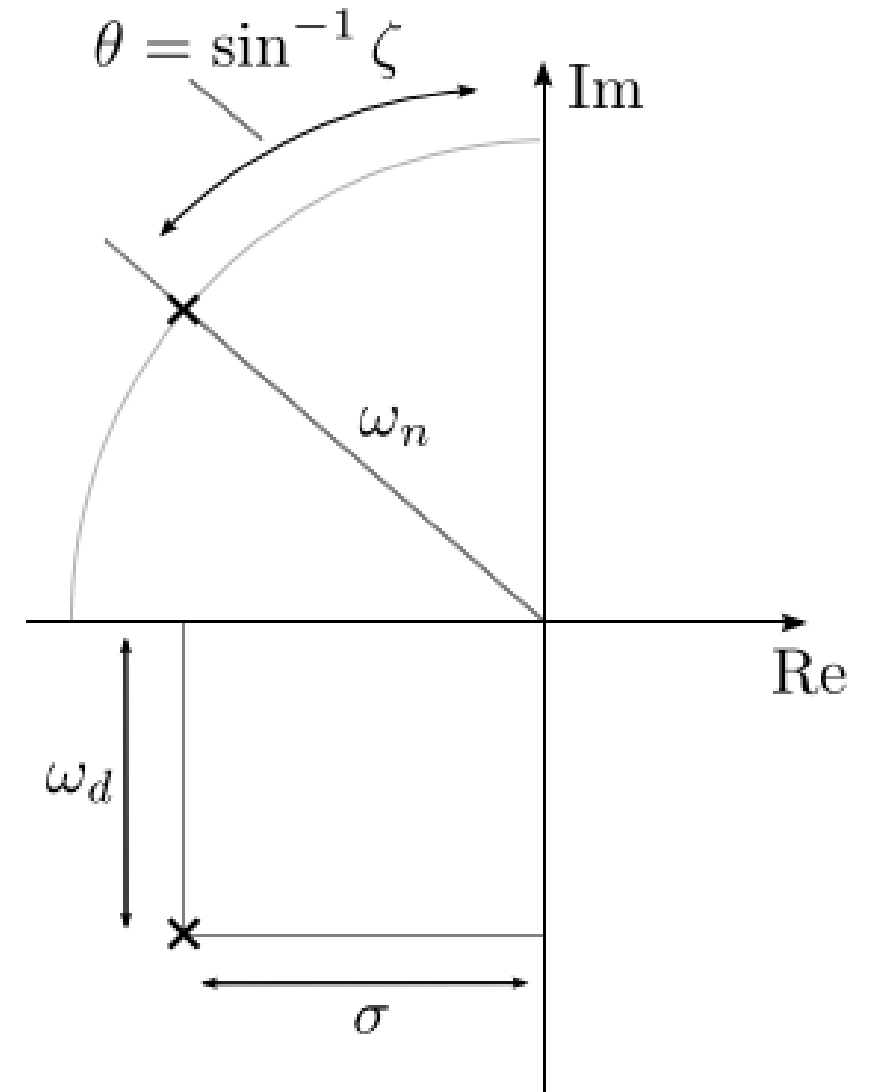
$$s = \zeta\omega_n \pm j\omega_n\sqrt{1 - \zeta^2}$$

$$s = -\sigma \pm j\omega_d$$

where $\sigma = \zeta\omega_n$, and $\omega_d = \omega_n\sqrt{1 - \zeta^2}$

→ Poles are located at a radius ω_n

→ The angle to the imaginary axis is $\theta = \sin^{-1} \zeta$



Exercise 40

A closed-loop control system has a transfer function $T(s)$ as follows

$$T(s) = \frac{Y(s)}{R(s)} = \frac{2500}{(s + 50)(s^2 + 10s + 50)}$$

Plot the time response to an unit step input when:

- **(a)** The actual $T(s)$ is used (use Matlab)
- **(b)** Using the dominant complex poles
- **(c)** Compare the results

Exercise 40 - continued

(a) The actual function is

$$T(s) = \frac{Y(s)}{R(s)} = \frac{2500}{(s + 50)(s^2 + 10s + 50)}.$$

(b) The approximate transfer function is

Exercise 41

A closed-loop control system transfer function as two dominant complex conjugate poles. Sketch the region in the left-hand s-plane where the complex poles should be located to meet the given specifications:

$$\rightarrow \text{(a)} \quad 0.6 \leq \zeta \leq 0.8, \quad \omega_n \leq 10$$

$$\rightarrow \text{(b)} \quad 0.5 \leq \zeta \leq 0.707, \quad \omega_n \geq 10$$

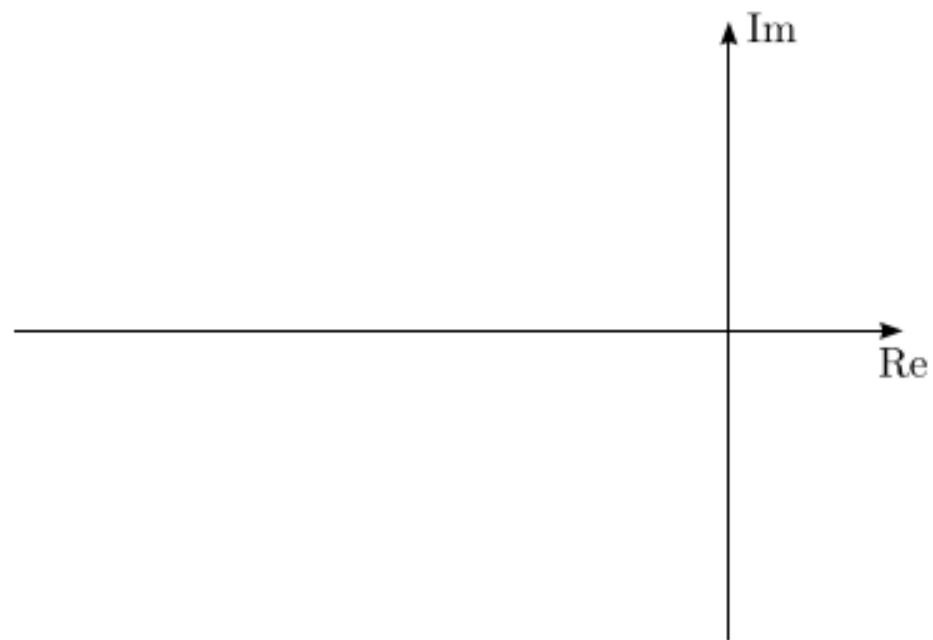
$$\rightarrow \text{(c)} \quad \zeta \geq 0.5, \quad 5 \leq \omega_n \leq 10$$

$$\rightarrow \text{(d)} \quad \zeta \leq 0.707, \quad 5 \leq \omega_n \leq 10$$

$$\rightarrow \text{(e)} \quad \zeta \geq 0.6, \quad \omega_n \leq 6$$

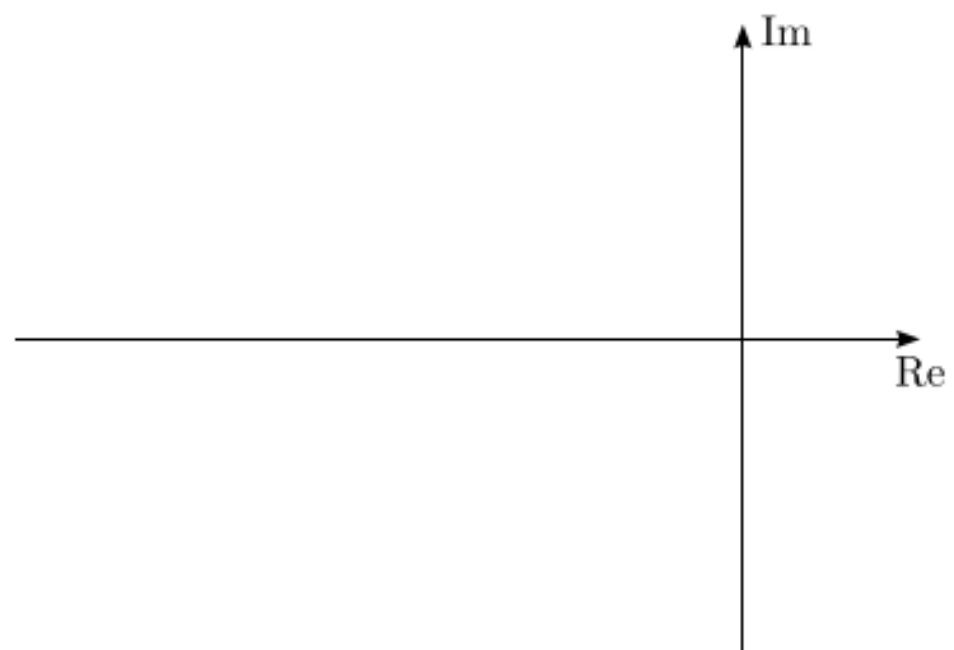
Exercise 41 - continued

$$\rightarrow \text{(a)} \quad 0.6 \leq \zeta \leq 0.8, \quad \omega_n \leq 10$$



Exercise 41 - continued

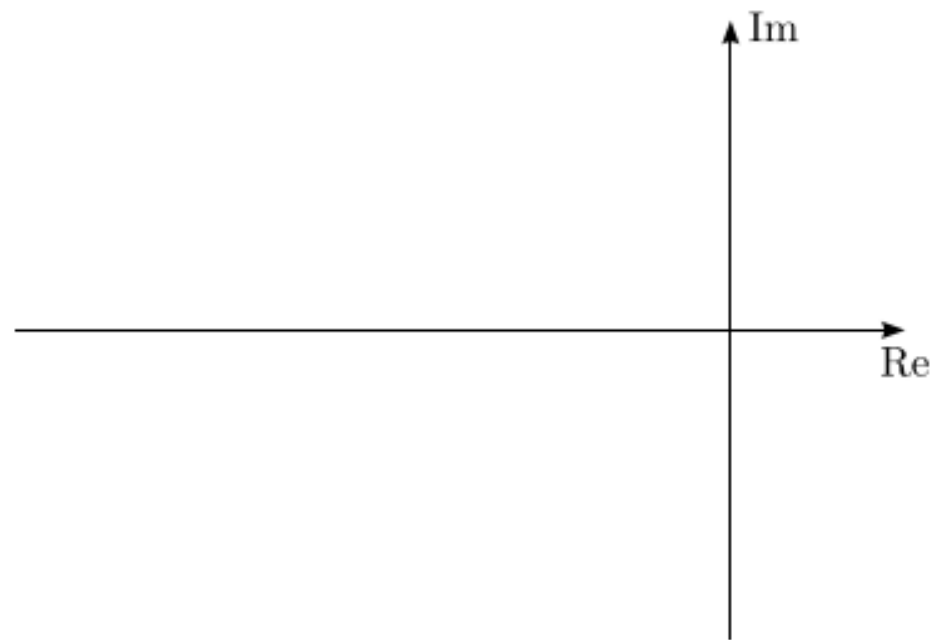
$$\rightarrow \text{(b)} \quad 0.5 \leq \zeta \leq 0.707, \quad \omega_n \geq 10$$



Exercise 41 - continued

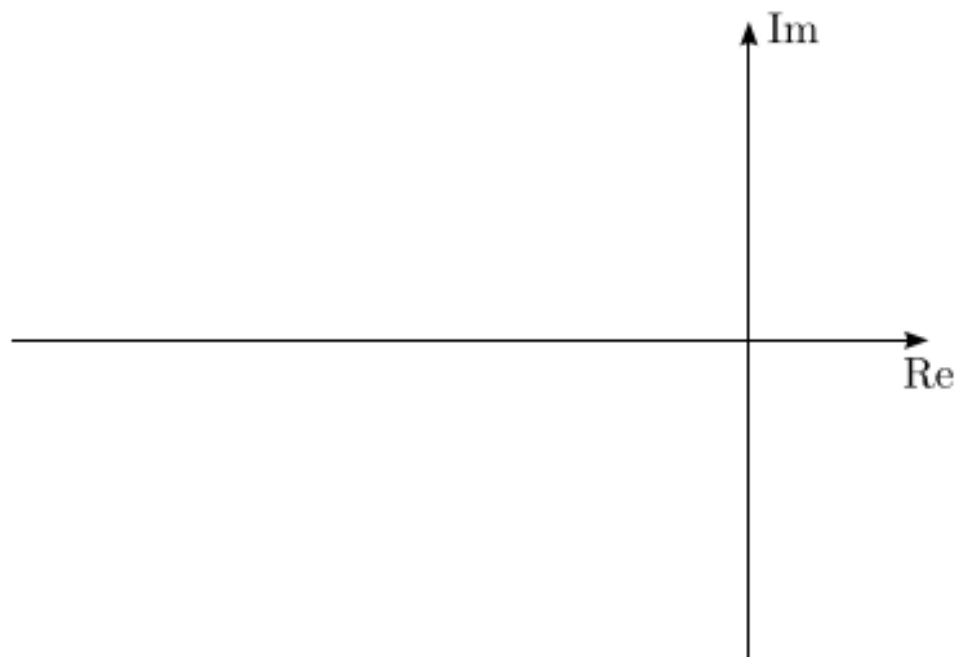
$$\rightarrow \text{(c)} \zeta \geq 0.5,$$

$$5 \leq \omega_n \leq 10$$



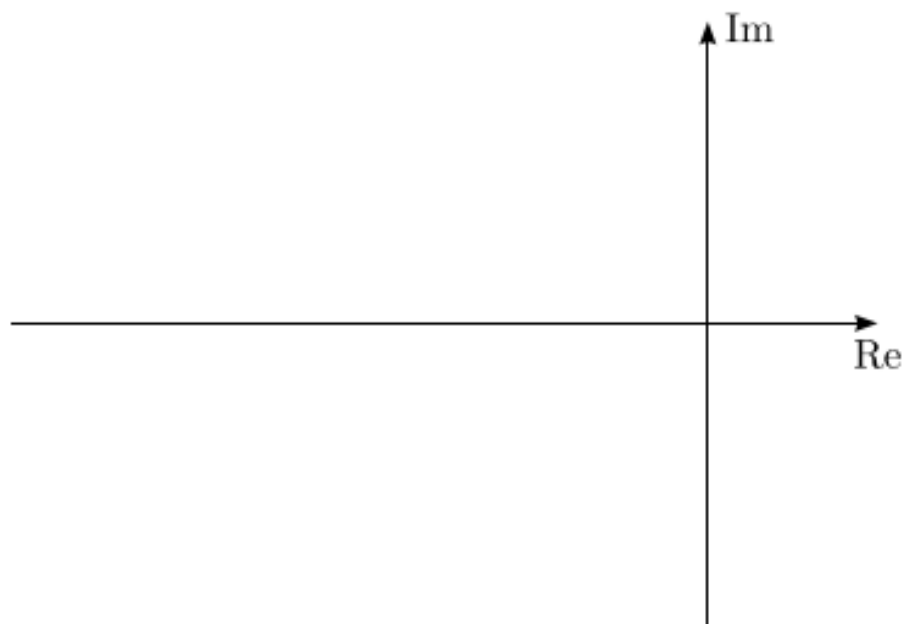
Exercise 41 - continued

$$\rightarrow \text{(d)} \quad \zeta \leq 0.707, \quad 5 \leq \omega_n \leq 10$$



Exercise 41 - continued

$$\rightarrow \text{(e)} \quad \zeta \geq 0.6, \quad \omega_n \leq 6$$



Exercise 42

A closed-loop transfer function is

$$T(s) = \frac{Y(s)}{R(s)} = \frac{108(s + 3)}{(s + 9)(s^2 + 8s + 36)}.$$

- **(a)** Determine the steady state error for a unit step input.
- **(b)** Assume that the complex poles dominate and determine the percent overshoot and setting time.
- **(c)** Plot the actual system response and compare it with **(b)**

Exercise 42 - continued

(a) Steady-state error for $r(t) = 1$.

$$T(s) = \frac{Y(s)}{R(s)} = \frac{108(s + 3)}{(s + 9)(s^2 + 8s + 36)}.$$

Exercise 42 - continued

(b) Overshoot and settling time considering the dominant poles.

$$T(s) = \frac{Y(s)}{R(s)} = \frac{108(s + 3)}{(s + 9)(s^2 + 8s + 36)}.$$

Exercise 42 - continued

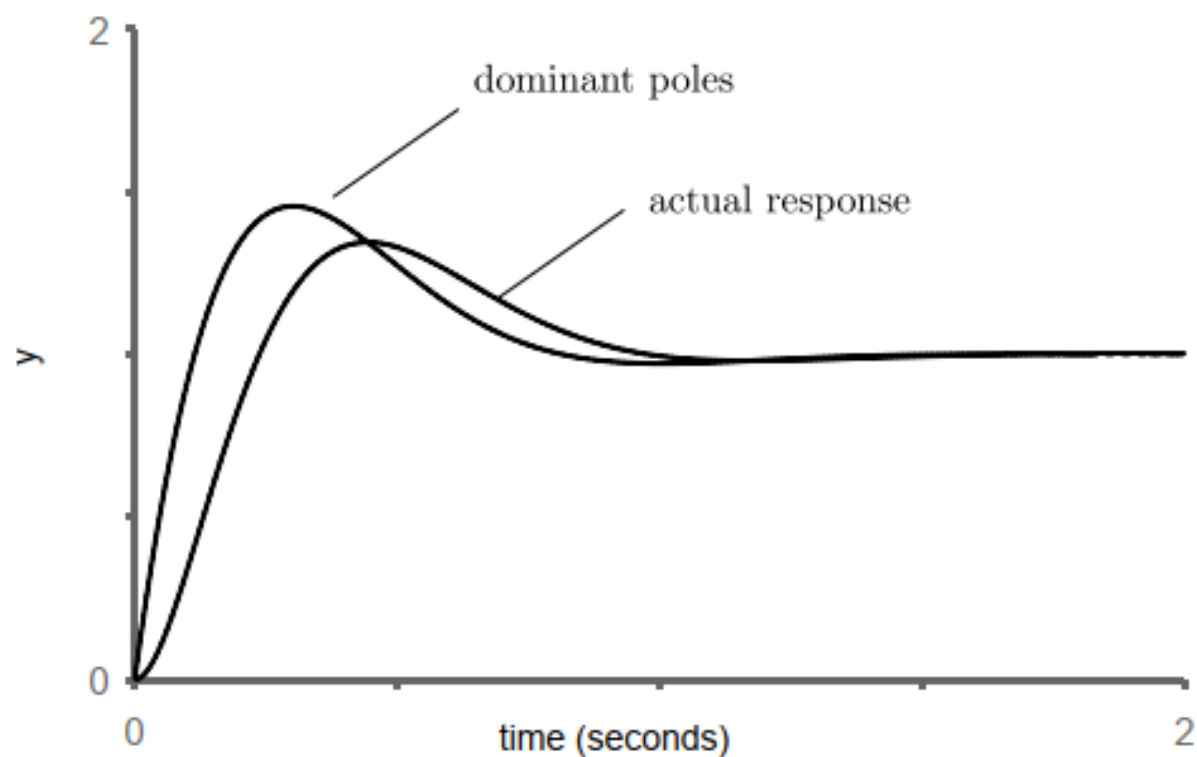
(c) Overshoot and settling time considering the dominant poles.

$$T(s) = \frac{Y(s)}{R(s)} = \frac{108(s + 3)}{(s + 9)(s^2 + 8s + 36)}.$$

Exercise 42 - continued

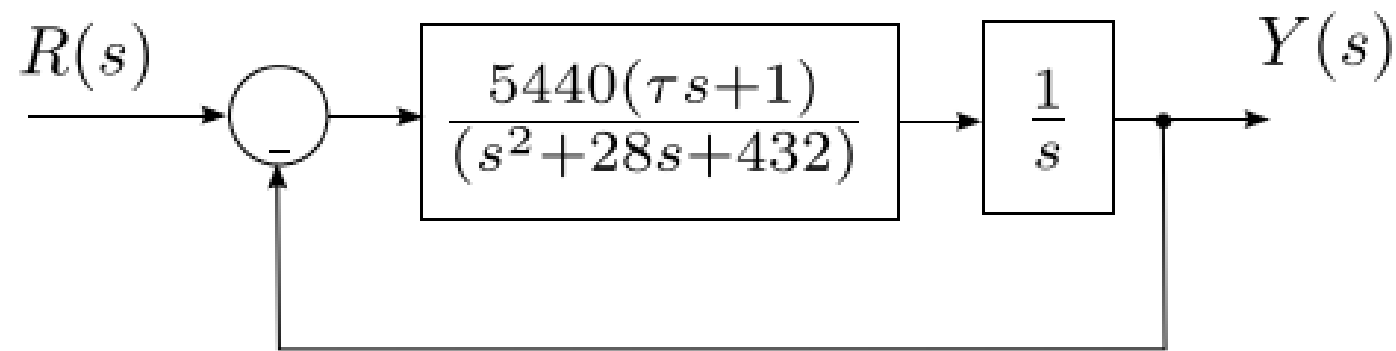
```
T = tf([108 324],[1 17 108 324]);  
step(T); stepinfo(T)
```

```
H = tf([108/9 324/9],[1 8 36]);  
step(H); stepinfo(H)
```



Exercise 43

Consider the following closed loop system

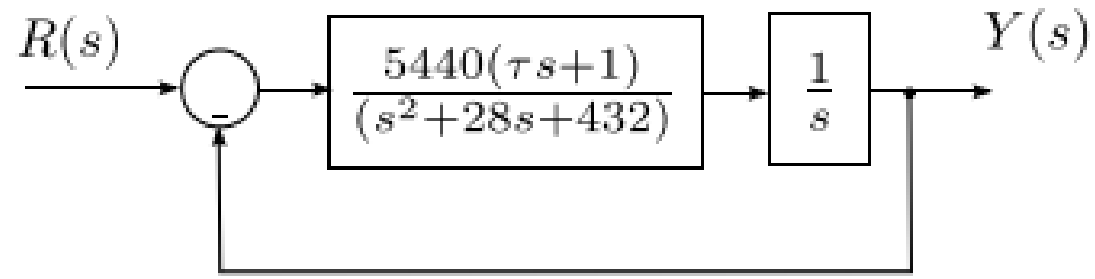


Where τ can take the values $\tau = 0, 0.05, 0.1$ or 0.5 . For $r(t) = 1$:

- **(a)** Record the percent overshoot, rise time, and settling time as τ varies.
- **(b)** Describe the effects of varying τ .
- **(c)** Compare the location of the zero with that of the closed-loop poles.

Exercise 43 - continued

The closed loop transfer function



Exercise 43 - continued

$$T(s) = \frac{5440(\tau s + 1)}{s^3 + 28s^2 + (432 + 5440\tau)s + 5440}$$

Matlab commands:

```
H = tf([5440*t 5400],[1 28 432+5440*t 5440]);
```

```
infostep(H)
```

```
damp(H)
```

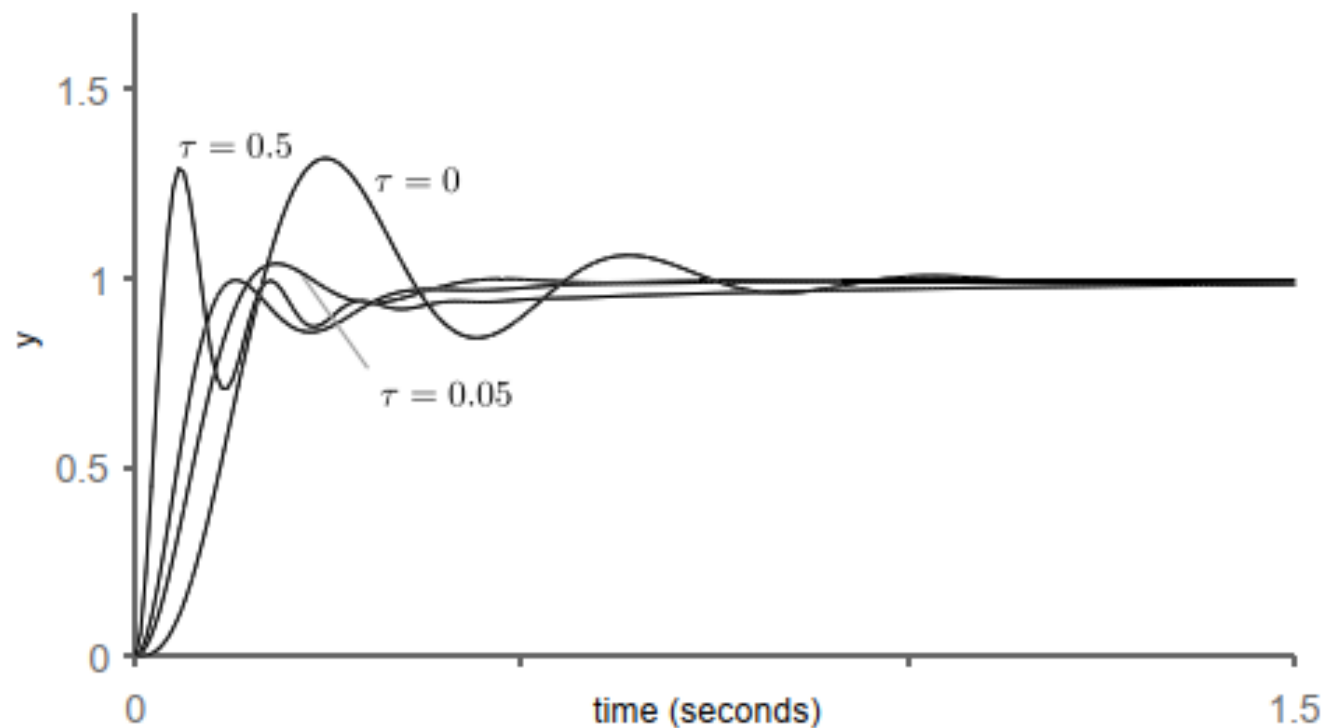
τ	T_r	T_s	P.O.	zero	pole
0					
0.05					
0.1					
0.5					

Exercise 43 - continued

`t = 0;`

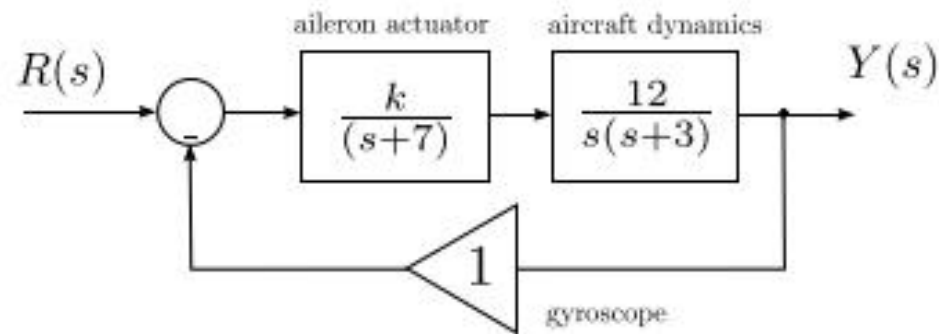
`H1 = tf([5440*t 5400],[1 28 432+5440*t 5440]);`

`step(H1);`



Exercise 44

The roll control of an aircraft is shown. The goal is to select a suitable K so that the response to a step command $r(t) = A$ will provide a fast response with an overshoot of less than 20%.

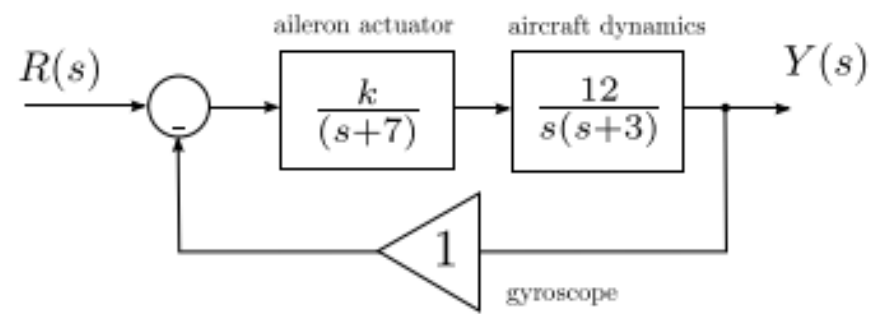


Steps for designing the controller:

- **(a)** Determine the closed-loop transfer function
- **(b)** Determine the poles for $K = 0.7, 3, \text{ and } 6$;
- **(c)** Using the concept of dominant poles find the expected overshoot
- **(d)** Plot the actual response with Matlab and compare it with (c)

Exercise 44 - continued

(a) The closed-loop transfer function



Exercise 44 - continued

(b) Finding the poles

$$T(s) = \frac{12k}{s(s+3)(s+7) + 12k} = \frac{12k}{s^3 + 10s^2 + 21s + 12k} \quad (13)$$

Exercise 44 - continued

(c) Overshoot considering the dominant poles ($k = 0.7, 3, \text{ and } 6$).

$$T(s) = \frac{12k}{s(s+3)(s+7) + 12k} = \frac{12k}{s^3 + 10s^2 + 21s + 12k} \quad (14)$$

Exercise 44 - continued

(d) Step-unit response using Matlab

Exercise 44 - continued

(c) Overshoot considering the dominant poles ($k = 0.7, 3, \text{ and } 6$).

$$T(s) = \frac{12k}{s(s+3)(s+7) + 12k} = \frac{12k}{s^3 + 10s^2 + 21s + 12k} \quad (15)$$

Next class...

- Stability